

## The anonymous "HRS4R" Survey \_ Initial phase

Dear Colleagues,

The Human Resources Strategy for Researchers (HRS4R) is a quality improvement process that spans 12 years overall, 11 of which are used to implement concrete measures into place to fill the gaps between the Charter and the Code and what is being done at the University of Lille.

Since January 26<sup>th</sup>, 2023, the University of Lille has been officially involved in this process. Your input is crucial at this critical stage (the setting up of the action plan). We encourage all individuals working on a research unit (BIATSS, Ph.D. students, teacher-researchers, and postdoctoral researchers) to complete this questionnaire to help us in prioritizing actions. Its availability will last until midnight on July 2<sup>nd</sup>, 2023, and its completion time cannot exceed 10 minutes).

From March to November 2022, the gap analysis was conducted with the administrators (from every department that had direct or indirect contact with researchers) and four working groups made up of BIATSS, professorial researchers, PhD students, and postdoctoral researchers. The gap analysis highlighted the following significant areas:

- Lack of awareness among researchers of ethical, intellectual property and General Data Protection Regulation principles.
- Adherence to researchers' ethical practices is implied.
- Lack of knowledge of researchers of the whole range of academic offer of the University of Lille (support, training, ethical aspects...)
- For non-French-speaking people, the lack of information in English can lead to discrimination.
- There is a lack of uniformity in the hiring of contract workers (research engineers, postdocs) supported by research funds.
- Contract researchers have little guidance when it comes to career development
- Supervisors' management of human resources must be improved.

This anonymous survey is part of HRS4R and intends to gather feedback from the broader scientific community on the actions that the University of Lille wants to take between 2024 and 2028 to begin filling the aforementioned gaps.

We appreciate your time and help in completing the questionnaire below.

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## Information to be requested from questionnaire participants:

Question	Options	
What is your position ?	□Doctoral student	
	□BIATSS	
	☐ Associate Professor	
	□Professor	
	□Researcher	
What type of contract do you have?	□Public service holder	
	□Contract of indefinite duration	
	□Temporary contract	
Which degree did you last hold?	□High school diploma	
	□Bachelor's degree	
	□Master's degree	
	□Ph.D.	
	☐ Accreditation to supervise research	
How long have you been in research?	□<4 years	
	□>4 years	
What is your field of research area?	☐Humanities and Social Sciences	
	☐Science and technologies	
	□Law, Economics, Management	
	□Life sciences and health	
What gender are you?	□Female	
	□Male	
	□Non-binary	
	□I decline to respond	

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Possible answers: Totally agree Agree Neutral Disagree Totally disagree

	Ethical and Professional Aspects		
Objectives (with associated principles)	Action	Opinion	Comments
Promote respect for ethical principles	<ol> <li>The creation of a single window "ethics" in the university of Lille's department of research.</li> </ol>	<ul><li>□ Totally agree</li><li>□ Agree</li><li>□ Neutral</li></ul>	
<ol> <li>Freedom of Research</li> <li>Ethical Principles</li> <li>Professional Responsibility</li> </ol>	The "ethics" single window interfaces with the various stakeholders involved in ethics: Ethics Committee, scientific integrity referent and deontologist.  2. Include clauses on ethical principles in the "rules of procedure for research	<ul><li>□ Disagree</li><li>□ Totally disagree</li><li>□ Totally agree</li></ul>	
	laboratories" (rules of procedure to be signed by all researchers)	<ul><li>□ Agree</li><li>□ Neutral</li><li>□ Disagree</li><li>□ Totally disagree</li></ul>	
	<ol> <li>Create a repository on respecting intellectual property (auto-plagiarism, plagiarism, etc.) on the intranet.</li> </ol>	<ul> <li>□ Totally agree</li> <li>□ Agree</li> <li>□ Neutral</li> <li>□ Disagree</li> <li>□ Totally disagree</li> </ul>	
	4. Evolve the university's signature charter to incorporate ethical integrity criteria (such as defining the authors of a publication)	<ul> <li>□ Totally agree</li> <li>□ Agree</li> <li>□ Neutral</li> <li>□ Disagree</li> <li>□ Totally disagree</li> </ul>	
Ensure compliance with regulatory obligations	<ol> <li>Schedule General Data Protection Regulation (GDPR) workshops for researchers in research units as part of the training program.</li> </ol>	<ul><li>□ Totally agree</li><li>□ Agree</li><li>□ Neutral</li></ul>	

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4. Professional attitude		□ Disagree
5. Contractual and legal		☐ Totally disagree
obligations	6. Integrating the concept of intellectual property into the host agreement	☐ Totally agree
6. Accountability	for researchers	□ Agree
7. Good practice in research		□ Neutral
		□ Disagree
		☐ Totally disagree
	7. Set up quarterly meetings with the administrative managers of the	☐ Totally agree
	research units to inform them of regulations and good professional	□ Agree
	practices.	□ Neutral
		□ Disagree
_		☐ Totally disagree
	8. As part of a training plan: develop the budgetary and financial	☐ Totally agree
	management skills of the administrative and financial managers of the research units.	□ Agree
		□ Neutral
		□ Disagree
		☐ Totally disagree
Promotion of Research:	<ol><li>Improved visibility of open science support schemes.</li></ol>	☐ Totally agree
Continue to encourage and		□ Agree
facilitate the exploitation of research results		□ Neutral
research results		☐ Disagree
8. Dissemination, exploitation	10. Consistentian of high colored at adopte to the management of accounts of	☐ Totally disagree
of results	10. Sensitization of high school students to the profession of researcher	☐ Totally agree ☐ Agree
9. Public engagement		□ Neutral
3. Tobile eligagement		□ Disagree
		☐ Totally disagree
	11. Develop awareness-raising activities for researchers and Ph.D. students on	☐ Totally disagree
	technology and knowledge transfer issues.	☐ Agree
	teermology and knowledge transfer 1550cs.	□ Neutral

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		□ Disagree
<u> </u>		☐ Totally disagree
	12. Writing a document in French and English on the legal framework and the	☐ Totally agree
	possibilities of economic exploitation of research results, editorial policy.	☐ Agree
		☐ Neutral
		☐ Disagree
		☐ Totally disagree
	13. Write a document to present business partnership processes (in English	☐ Totally agree
	and French)	☐ Agree
		☐ Neutral
		☐ Disagree
		☐ Totally disagree
Continue to improve the	14. Disability management in research: Offer support for temporary teachers	☐ Totally agree
professional situation of staff	(who have more than 64 hours of teaching) in disabled situations	☐ Agree
with disabilities.		☐ Neutral
		□ Disagree
10. Non-discrimination		☐ Totally disagree
24. Conditions de travail	15. Continuous improvement of the annual campaign for staff with the	☐ Totally agree
	objective of information on the institution's disability policy and the	☐ Agree
	census of BOE staff (Beneficiary of the Obligation of Employment)	☐ Neutral
		☐ Disagree
		☐ Totally disagree
	16. Discrimination training and gender biases for service leaders and recruiters	☐ Totally agree
Acting for Gender Equality		□ Agree
		□ Neutral
10. Non discrimination		□ Disagree
27. Gender balance		☐ Totally disagree
	17. Accompaniment ("sponsorship") of females in Master's degree to pursue a	☐ Totally agree
	Ph.D., and females with Ph.D. holders to obtain the accreditation to	□ Agree
	supervise research	□ Neutral

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		☐ Disagree☐ Totally disagree☐
	18. Accompaniment ("sponsorship") of females with a position of researcher- teacher to evolve to the professor position or to take more responsibility.	☐ Totally agree ☐ Agree
	teacher to evolve to the professor position of to take more responsibility.	☐ Neutral
		☐ Disagree☐ Totally disagree☐
Continue to work towards preventing the risks of harassment and	19. Prevention of risks of harassment and discrimination during the thesis	☐ Totally agree ☐ Agree ☐ Neutral
discrimination		☐ Disagree ☐ Totally disagree
10. Non discrimination 34. Complains/ appeals	20. Strengthen the confidentiality of harassment reports in the "Occupational Health and Safety" register	<ul><li>□ Totally agree</li><li>□ Agree</li><li>□ Neutral</li></ul>
		<ul><li>□ Disagree</li><li>□ Totally disagree</li></ul>
	Recruitment and Selection	
Standardize the procedure for recruiting research contracts in laboratories.	21. look for a tool to publish and manage applications for contract workers paid by research funding	☐ Totally agree ☐ Agree ☐ Neutral ☐ Disagree
12. Recruitment 13. Recruitment (Code) 14. Selection (Code) 15. Transparency (Code)	22. Write a "contract on research funding" recruitment guide to unify recruitment practices in research units	☐ Totally disagree ☐ Totally agree ☐ Agree ☐ Neutral ☐ Disagree ☐ Totally disagree
Increase transparency in the recruitment process	23. Schematize the recruitment process on the English version of the University of Lille site	☐ Totally agree ☐ Agree

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12. Recruitment 13. Recruitment (Code) 15. Transparency (Code)		<ul><li>□ Neutral</li><li>□ Disagree</li><li>□ Totally disagree</li></ul>
	Working Conditions and Social Security	
Continue health, safety, and security measures	24. Establishment of a research and workspace, user-friendliness, exchange, and exhibition of research work for Ph.D. students and researchers.	<ul><li>□ Totally agree</li><li>□ Agree</li><li>□ Neutral</li></ul>
23. Research environment		<ul><li>□ Disagree</li><li>□ Totally disagree</li></ul>
	25. Strengthen the medical team by recruiting occupational physicians. Train the team in the regulations and procedures of the institution in terms of accompanying individual and collective situations.	<ul> <li>□ Totally agree</li> <li>□ Agree</li> <li>□ Neutral</li> <li>□ Disagree</li> <li>□ Totally disagree</li> </ul>
	26. Strengthen communication on risk management procedures and their application in laboratories: writing and transmitting procedures	<ul> <li>□ Totally agree</li> <li>□ Agree</li> <li>□ Neutral</li> <li>□ Disagree</li> <li>□ Totally disagree</li> </ul>
	27. Train research unit managers in risk prevention	<ul> <li>□ Totally agree</li> <li>□ Agree</li> <li>□ Neutral</li> <li>□ Disagree</li> <li>□ Totally disagree</li> </ul>
	28. Define a general organization on the institution to reduce and control isolated work (authorization form, physical devices, etc.)	<ul> <li>□ Totally agree</li> <li>□ Agree</li> <li>□ Neutral</li> <li>□ Disagree</li> <li>□ Totally disagree</li> </ul>

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	29. Implementation of organizational audit and follow-up of	☐ Totally agree
	recommendations (for example organizational diagnosis of pet stores).	☐ Agree
	recommendations (for example organizational diagnosis or per stores).	□ Neutral
		☐ Disagree
		☐ Totally disagree
Facilitate and improve access	30. Welcome booklet "researcher" in French and English, given at the time of	☐ Totally agree
to information.	signing the working contract, with everything the university offers for them	□ Agree
	("step by step" of research projects set-up, HR support, the tools made	□ Neutral
23. Research environment	available by the university, etc.) and/or Implementation of a "welcome	□ Disagree
24. Working conditions	pack" in English and French	☐ Totally disagree
	31. Creating a single window for research data so that the researcher can be	☐ Totally agree
	directed to the right person, regardless of the topic.	□ Agree
		☐ Neutral
		□ Disagree
		☐ Totally disagree
	32. Merging the digital working environment and the intranet to offer a single	☐ Totally agree
	platform combining tools and information with customization features	☐ Agree
	and, in perspective, collaborative functionalities.	□ Neutral
		☐ Disagree
		☐ Totally disagree
	33. Development of information days for new teachers as part of the training	☐ Totally agree
	plan.	□ Agree
		□ Neutral
		☐ Disagree
		☐ Totally disagree
	Training and Development	
Supporting the professional	34. Implementation of the university mentoring system and/or assignment of a	☐ Totally agree
development of researchers	"sponsor" to all new researchers	☐ Agree
		□ Neutral
		□ Disagree

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28 Career development		☐ Totally disagree
30. Access to career advice	2F Extend the "earner development" workshape to all part does and	
	35. Extend the "career development" workshops to all post-docs and	☐ Totally agree
38. Continuing Professional	researchers at the University of Lille.	□ Agree
Development		□ Neutral
39. Access to research		☐ Disagree
training and continuous		☐ Totally disagree
development	36. Sensitize research unit managers and researchers-teachers with leadership	☐ Totally agree
	responsibilities and the importance of training in team management and	□ Agree
	HR culture and the focus will be first on the professional conversation in	□ Neutral
	the careers of incumbents and contractors (with a seniority of more than	□ Disagree
	one year) and the challenge of training accordingly.	☐ Totally disagree
	37. In the training plan, the training service in association with the "SCD"	☐ Totally agree
	(common documentation service) will propose a training program for	□ Agree
	information and scientific documentation: practical methods and tools to	☐ Neutral
	appropriate the context of open science	□ Disagree
		☐ Totally disagree
Improvement of the	38. Propose as part of the university's training plan "Coaching Training -	☐ Totally agree
supervision of young	Empowerment to Lead Research"	□ Agree
researchers	·	□ Neutral
		□ Disagree
36. Relation with supervisors		☐ Totally disagree
40. Supervision		
	Cross-cutting actions across all themes	
	39. Translation in English of:	☐ Totally agree
	i) the institutional site and main social actions, measures against social	□ Agree
	discrimination and complaints, and alert and reporting procedures.	□ Neutral
	ii) on the intranet:	□ Disagree
	- content on ethical principles, the role of the integrity referent	☐ Totally disagree
	- Information on research project packages.	

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